

# Synthesis of Poly(vinyl acetate)-*b*-polystyrene and Poly(vinyl alcohol)-*b*-polystyrene Copolymers by a Combination of Cobalt-Mediated Radical Polymerization and RAFT Polymerization

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Received November 5, 2009; Revised Manuscript Received February 3, 2010

**ABSTRACT:** Well-defined poly(vinyl acetate)-*block*-polystyrene (PVAc-*b*-PSt) block copolymers were successfully synthesized by a combination of cobalt-mediated radical polymerization (CMRP) and reversible addition–fragmentation chain transfer (RAFT) polymerization. PVAc and PVAc-*b*-PSt (with an average PSt repeating unit of 2 or 6) were first synthesized via CMRP with cobalt(II) acetylacetonate as a regulating agent. The cobalt complexes attached to their  $\omega$  chain ends were modified into a phenyl dithioester group by reacting with bis(thiobenzoyl) disulfide, producing PVAc and PVAc-*b*-PSt macro-chain-transfer agents (PVAc-CTA and PVAc-PSt-CTA), respectively. The RAFT polymerization of styrene with PVAc-CTA or PVAc-PSt-CTA was conducted in *N,N*-dimethylformamide at 80 °C. PVAc-*b*-PSt block copolymers synthesized with PVAc-PSt6-CTA (with an average PSt repeating unit of 6) exhibited narrowest molecular weight distributions ( $M_w/M_n = 1.28–1.30$ ) even at high conversions. The PVAc-*b*-PSt block copolymer ( $M_n(\text{GPC}) = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ) was hydrolyzed to amphiphilic poly(vinyl alcohol)-*block*-PSt (PVA-*b*-PSt) block copolymer under basic conditions. The PVA-*b*-PSt micelles were spherical in shape with an average diameter of  $44 \pm 18$  nm.

## Introduction

Amphiphilic poly(vinyl alcohol) (PVA) block copolymers, which can be prepared by the hydrolysis of poly(vinyl acetate) (PVAc) block copolymers, have attracted attention due to their potential applications in coatings, adhesives, thin films, and dispersion systems.<sup>1–3</sup> However, the synthesis of well-defined PVAc block copolymers has been challenging because the controlled/living radical polymerization (CRP) of vinyl acetate (VAc) is difficult to control due to a high reactivity of its propagating radicals. The synthesis of PVAc block copolymers, such as PVAc-*block*-polystyrene (PVAc-*b*-PSt) and PVAc-*block*-poly(methyl methacrylate) (PVAc-*b*-PMMA), has been studied extensively by using consecutive telomerization and atom transfer radical polymerization (ATRP).<sup>4–8</sup> VAc telomers were first synthesized by the telomerization of VAc with carbon tetrachloride, followed by ATRP of styrene (St) or methyl methacrylate (MMA) with the VAc telomers as macroinitiators. However, the low molecular weight (MW) with broad MW distribution of the VAc telomers makes it difficult to produce well-defined, high-MW PVAc block copolymers through this method.

Recently, the CRP of VAc was achieved via reversible addition–fragmentation chain transfer (RAFT) polymerization<sup>9–13</sup> and cobalt-mediated radical polymerization (CMRP).<sup>14–21</sup> However, only a few PVAc block copolymers were synthesized by consecutive RAFT polymerization or CMRP because only a few monomers could be consecutively polymerized in a controlled manner with PVAc macroinitiators prepared by RAFT polymerization or CMRP of VAc. For example, the consecutive CMRP of PVAc-*b*-PSt block copolymers with PVAc macroinitiators was uncontrolled ( $M_w/M_n = 1.50–2.90$ ).<sup>19</sup> Debuigne et al.<sup>20</sup> converted the cobalt complex attached to the  $\omega$  chain end of the PVAc macroinitiators into an  $\alpha$ -bromoester or an  $\alpha$ -bromoketone in order to control the

polymerization of the second block of PSt. The end-group-modified PVAc chains were used as macroinitiators for the ATRP of St to obtain well-defined PVAc-*b*-PSt block copolymers. On the other hand, Tong et al.<sup>22</sup> employed ATRP and RAFT polymerization techniques to synthesize well-defined PVAc-*b*-PSt block copolymers ( $M_w/M_n = 1.36–1.46$ ). They used difunctional initiators for the ATRP of St and the RAFT polymerization of VAc. However, they could not hydrolyze the PVAc-*b*-PSt to get PVA-*b*-PSt due to the ester linkages in the starting difunctional initiators.

In the present study, well-defined PVAc-*b*-PSt block copolymers were successfully synthesized for the first time by a combination of CMRP and RAFT polymerization. PVAc and PVAc-*b*-PSt macroinitiators were first synthesized via CMRP, and then the cobalt complex attached to their  $\omega$  chain ends was converted into a dithioester group for the RAFT polymerization of St. The synthesized PVAc-*b*-PSt block copolymer was hydrolyzed to amphiphilic PVA-*b*-PSt block copolymer, and its micellar characteristics in aqueous solution were investigated.

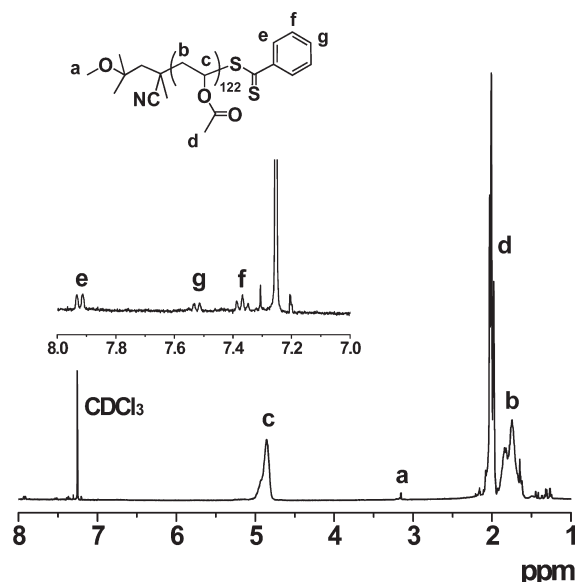
## Experimental Section

**Materials.** VAc (Aldrich, >99%) and St (Tokyo Kasei, 98%) were passed through a column filled with neutral alumina, dried over CaH<sub>2</sub>, distilled under reduced pressure, and then degassed with several freeze–thawing cycles. *N,N*-Dimethylformamide (DMF, Duksan, 99.5%) was dried over CaH<sub>2</sub>, distilled under reduced pressure, and then degassed with several freeze–pump–thaw cycles. 2,2'-Azobis(isobutyronitrile) (AIBN, Tokyo Kasei, 98%) was recrystallized from methanol. 2,2'-Azobis(4-methoxy-2,4-dimethylvaleronitrile) (V-70, Wako, 96%), cobalt(II) acetylacetonate [Co(acac)<sub>3</sub>, Aldrich, 97%], dimethyl sulfoxide (DMSO, Aldrich, ≥99.7%), tetrahydrofuran (THF, Duksan, 99.5%), *N,N*-dimethylformamide (DMF, Aldrich, ≥99.8%), potassium hydroxide (KOH, Duksan, 85%), and methanol (Duksan, 99.5%) were used as received. BTBD was synthesized in 85% yield according to the procedure reported in

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phenyl dithioester group for the RAFT polymerization of St. The carbon–cobalt (C–Co) bonds in the PVAc-Co(acac)<sub>2</sub> chains were homolytically cleaved at 50 °C in DMSO to yield PVAc macroradicals, which underwent radical reactions to BTBD, 2-(2-Cyano-propyl) dithiobenzoate and 4-cyanopentanoic acid dithiobenzoate, well-known RAFT agents, were similarly synthesized using BTBD.<sup>24</sup> DMSO was used as the reaction solvent to increase the generation



**Figure 1.** <sup>1</sup>H NMR spectrum of poly(vinyl acetate) macro-chain-transfer agent (PVAc-CTA,  $M_{n(\text{NMR})} = 10\,800$  g/mol) in  $\text{CDCl}_3$ .

efficiency of the PVAc macroradicals. It was reported that the homolytic cleavage of the C–Co bonds in PVAc-Co(acac)<sub>2</sub> chains could be dramatically increased by adding a small amount of an electron-donating compound, such as pyridine, water, or DMSO.<sup>25–27</sup> The coordination of these electron-donating compounds to the free sites of the cobalt complex in PVAc-Co(acac)<sub>2</sub> weakened the strength of the C–Co bonds and allowed much easier generation of PVAc macroradicals. The PVAc-Co(acac)<sub>2</sub> chains had a violet color due to the cobalt complex, whereas PVAc-CTA had a reddish-brown color due to the phenyl dithioester group. Figure 1 shows the <sup>1</sup>H NMR spectrum of PVAc-CTA ( $M_{n(\text{NMR})} = 10\,800$  g/mol). The peaks of the  $\omega$  end phenyl group protons (e, f, and g) are clearly observed. The modification efficiency of the  $\omega$  end groups was determined to be >95% by comparing the integration ratio of the –OCHH<sub>3</sub> protons (a) to the  $\omega$  end phenyl group protons (e, f, and g).

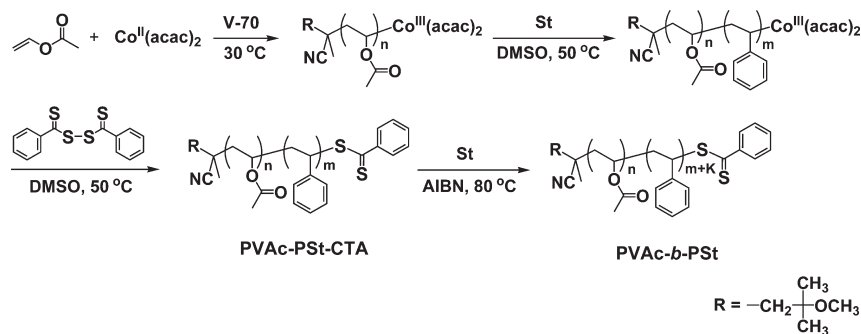
Table 1 lists the results of the RAFT polymerization of St with PVAc-CTA. The  $M_n$  of PVAc-CTA was also determined by comparing the integration ratio of the –OCHH<sub>3</sub> protons (a) to the –CH<sub>2</sub>CH(OCOCH<sub>3</sub>) proton (c) (Figure 1). The polymerizations were carried out at 80 °C at a molar ratio of [St]<sub>0</sub>/[CTA]<sub>0</sub>/[AIBN]<sub>0</sub> = 568/2.5/1. Although the RAFT polymerization of St was possible, the PVAc-*b*-PSt block copolymers exhibited relatively high polydispersity values. This poor control may be caused by the high reactivity of PVAc macroradicals (reinitiating R group). Therefore, in order to control the RAFT polymerization of St, the reactivity of PVAc macroradicals was adjusted by introducing several St units into PVAc-CTA. As shown in Scheme 2, the CMRP of St with PVAc-Co(acac)<sub>2</sub> was first carried out at 50 °C for 3 h through the reversible termination mechanism. The average block length of PSt was controlled by changing the molar ratio of [PVAc-Co(acac)<sub>2</sub>]<sub>0</sub>/[St]<sub>0</sub>. When the

**Table 1.** RAFT Polymerization Results of St at 80 °C for 24 h<sup>a</sup>

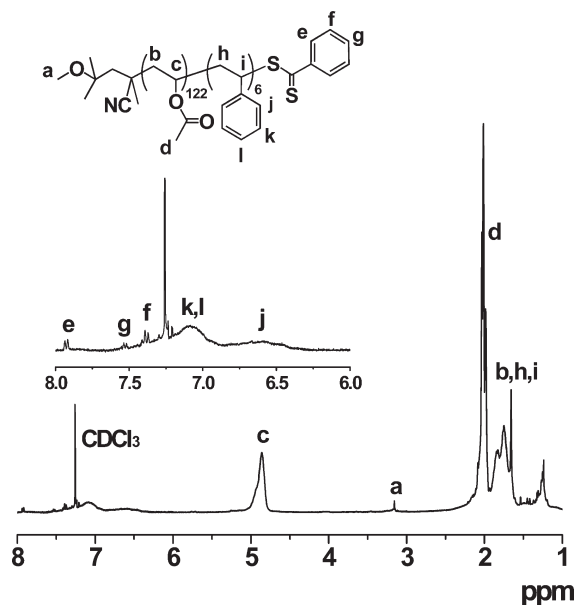
macro-CTAs	$M_{n(\text{NMR})}$ (g/mol) <sup>c</sup>	$M_{n(\text{GPC})}$ (g/mol) <sup>d</sup>	$M_w/M_n$ <sup>d</sup>	PVAc- <i>b</i> -PSt <sup>b</sup>			
				conv (%) <sup>e</sup>	$M_{n(\text{theor})}$ (g/mol) <sup>f</sup>	$M_{n(\text{GPC})}$ (g/mol) <sup>d</sup>	$M_w/M_n$ <sup>d</sup>
PVAc-CTA	7 800	8 100	1.18	13	10 900	15 500	1.47
	10 800	11 800	1.20	15	14 300	17 000	1.52
PVAc-PSt2-CTA	8 000	8 400	1.19	20	12 700	16 300	1.37
	11 000	12 100	1.21	15	14 600	18 700	1.45
PVAc-PSt6-CTA	8 400	9 500	1.21	48	19 700	21 000	1.28
	11 400	12 300	1.23	45	22 000	25 500	1.30

<sup>a</sup> [St]<sub>0</sub>/[Macro-CTA]<sub>0</sub>/[AIBN]<sub>0</sub> = 568/2.5/1, [St]<sub>0</sub> = 1.3 mL. <sup>b</sup> Poly(vinyl acetate)-*block*-polystyrene (PVAc-*b*-PSt) was recovered by precipitation in an excess of methanol. <sup>c</sup> Calculated by <sup>1</sup>H NMR in  $\text{CDCl}_3$ . <sup>d</sup> Determined by gel permeation chromatography using polystyrene standard in THF. <sup>e</sup> Determined by a gravimetric method. <sup>f</sup> The theoretical molecular weight ( $M_{n(\text{theor})} = 104.15 (M_{\text{St}}) \times ([\text{St}]_0/[\text{PVAc-CTA or PVAc-PSt-CTA}]_0) \times \text{conversion} + M_{n(\text{NMR})}$  of PVAc-CTA or PVAc-PSt-CTA. PVAc-CTA, poly(vinyl acetate) macro-chain-transfer agent; PVAc-*b*-PSt, poly(vinyl acetate)-*block*-polystyrene; PVAc-PSt2-CTA, PVAc-*b*-PSt macro-chain-transfer agent with an average PSt repeating unit of 2; PVAc-PSt6-CTA, PVAc-*b*-PSt macro-chain-transfer agent with an average PSt repeating unit of 6.

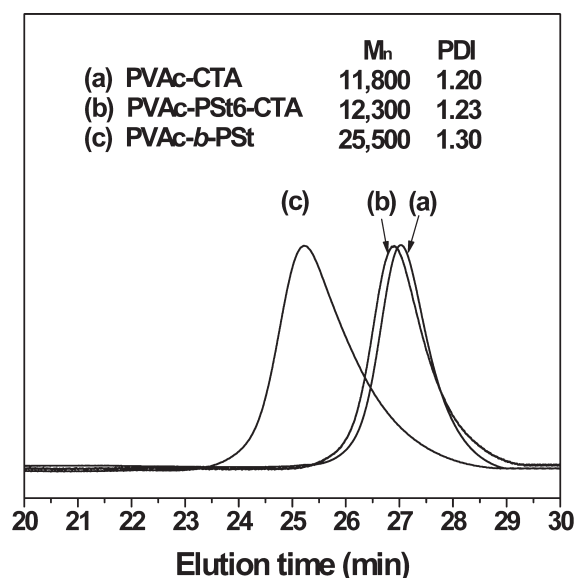
**Scheme 2.** Synthesis Procedure of PVAc-PSt-CTA and PVAc-*b*-PSt<sup>a</sup>



<sup>a</sup>  $\text{Co}^{\text{II}}(\text{acac})_2$ , cobalt(II) acetylacetonate; DMSO, dimethyl sulfoxide; AIBN, 2,2'-azobis(isobutyronitrile); PVAc-*b*-PSt, poly(vinyl acetate)-*block*-polystyrene; PVAc-PSt-CTA, poly(vinyl acetate)-*block*-polystyrene macro-chain-transfer agent.



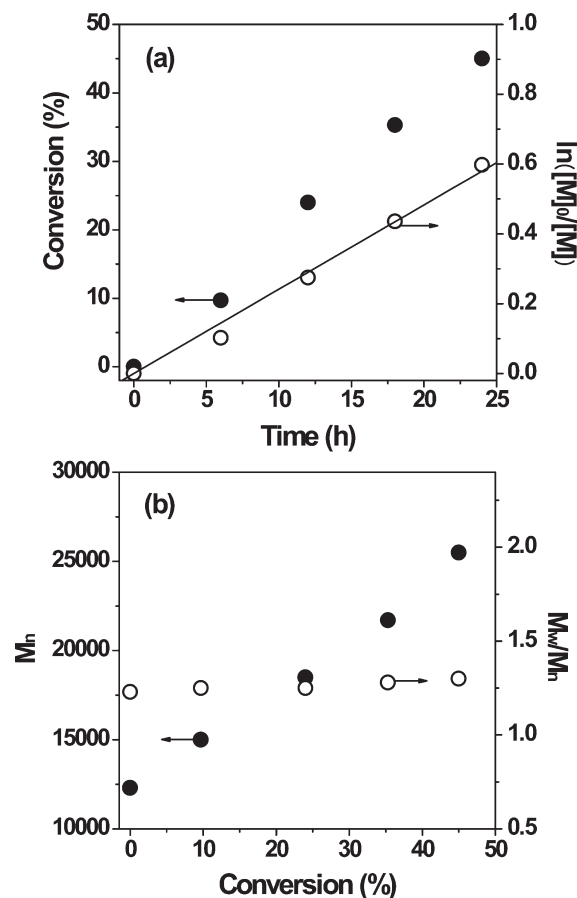
**Figure 2.**  $^1\text{H}$  NMR spectrum of poly(vinyl acetate)-block-polystyrene macro-chain-transfer agent with an average PSt repeating unit of 6 (PVAc-PSt6-CTA,  $M_{n(\text{NMR})} = 11\,400$  g/mol) in  $\text{CDCl}_3$ .



**Figure 3.** GPC curves of poly(vinyl acetate) macro-chain-transfer agent (PVAc-CTA), poly(vinyl acetate)-block-polystyrene macro-chain-transfer agent with an average PSt repeating unit of 6 (PVAc-PSt6-CTA), and poly(vinyl acetate)-block-polystyrene (PVAc-*b*-PSt). PVAc-*b*-PSt was prepared by RAFT polymerization of St with PVAc-PSt6-CTA in DMF at  $80^\circ\text{C}$  for 24 h at a molar ratio of  $[\text{St}]_0/[\text{PVAc-PSt6-CTA}]_0/[\text{AIBN}]_0 = 568/2.5/1$ .

molar ratios of  $[\text{PVAc-Co}(\text{acac})_2]_0/[\text{St}]_0$  were 1/5 and 1/10, the average block lengths of PSt determined by  $^1\text{H}$  NMR spectroscopy were 2 and 6, respectively. The  $\omega$  chain end of the resulting PVAc-*block*-PSt- $\text{Co}(\text{acac})_2$  (PVAc-PSt- $\text{Co}(\text{acac})_2$ ) was consecutively modified into a phenyl dithioester group by reacting with BTBD. PVAc-PSt-CTA, with an average PSt repeating unit of 6, is referred to as PVAc-PSt6-CTA.

Figure 2 shows the  $^1\text{H}$  NMR spectrum of PVAc-PSt6-CTA ( $M_{n(\text{NMR})} = 11\,400$  g/mol). The modification efficiencies of the  $\omega$  end groups of PVAc-PSt2- $\text{Co}(\text{acac})_2$  and PVAc-PSt6- $\text{Co}(\text{acac})_2$  determined by  $^1\text{H}$  NMR spectroscopy were  $\sim 82$  and  $\sim 78\%$ , respectively. Bryaskova et al.<sup>19</sup> reported that the CMRP of St

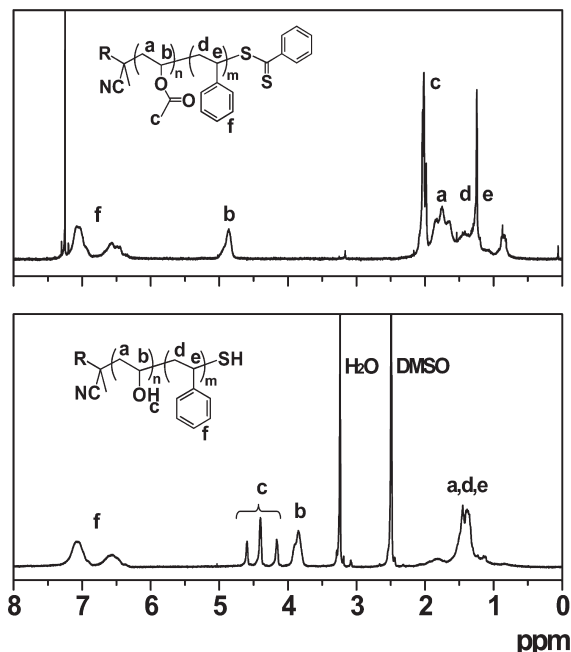


**Figure 4.** (a) Time dependence of  $\ln([M]_0/[M])$  and monomer conversion for the RAFT polymerization of St using poly(vinyl acetate)-block-polystyrene macro-chain-transfer agent with an average PSt repeating unit of 6 (PVAc-PSt6-CTA,  $M_{n(\text{NMR})} = 11\,400$  g/mol) at  $80^\circ\text{C}$  and (b)  $M_n$  and  $M_w/M_n$  vs monomer conversion.  $[M]_0$  and  $[M]$  are the St concentrations at time 0 and  $t$ , respectively. PVAc-*b*-PSt was recovered by precipitation in an excess of methanol.

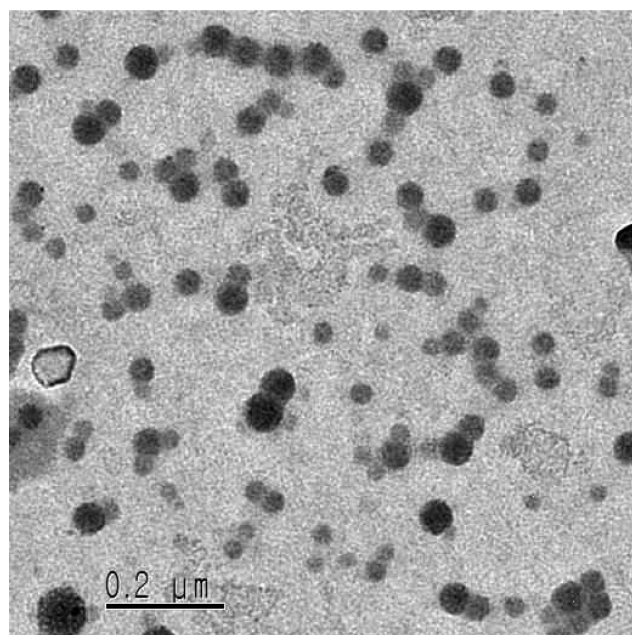
with PVAc- $\text{Co}(\text{acac})_2$  macroinitiator was uncontrolled due to the low efficiency of  $\text{Co}(\text{acac})_2$  to deactivate the PSt chains. Therefore, it is postulated that part of the PVAc-PSt- $\text{Co}(\text{acac})_2$  chains was not converted into PVAc-PSt-CTA due to the separation of the cobalt complex. PVAc-PSt2 and PVAc-PSt6 generated from the separation of the cobalt complex remained during the RAFT polymerization of St. Fortunately, these polymeric impurities were completely removed during the recovery process after the RAFT polymerization of St. The resulting PVAc-*b*-PSt was precipitated in an excess of methanol, whereas the polymeric impurities were dissolved in the methanol.

The results of the RAFT polymerization of St with PVAc-PSt2-CTA and PVAc-PSt6-CTA are listed in Table 1. The MW distributions of PVAc-*b*-PSt prepared with PVAc-PSt2-CTA were broad, whereas those of PVAc-*b*-PSt prepared with PVAc-PSt6-CTA were much narrower even at high conversions. Figure 3 shows the GPC traces of the PVAc-CTA ( $M_{n(\text{GPC})} = 11\,800$  g/mol), PVAc-PSt6-CTA ( $M_{n(\text{GPC})} = 12\,300$  g/mol), and PVAc-*b*-PSt ( $M_{n(\text{GPC})} = 25\,500$  g/mol) prepared with the PVAc-PSt6-CTA. The GPC trace of the PVAc-*b*-PSt was monomodal, indicating that the initiation efficiency of PVAc-PSt6-CTA was very high, and most of PVAc-PSt6 (polymeric impurities) was removed during the recovery process. The clean and clear shift of the PVAc-PSt6-CTA peak toward the higher molar mass region also implied the successful synthesis of PVAc-*b*-PSt.

Figure 4 shows the kinetics investigations of the RAFT polymerization of St using PVAc-PSt6-CTA ( $M_{n(\text{NMR})} = 11\,400$  g/mol).



**Figure 5.**  $^1\text{H}$  NMR spectra of poly(vinyl acetate)-*block*-polystyrene (PVAc-*b*-PSt,  $M_{n(\text{GPC})} = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ) in  $\text{CDCl}_3$  and the hydrolyzed poly(vinyl alcohol)-*block*-polystyrene (PVA-*b*-PSt) in  $\text{DMSO}-d_6$ .



**Figure 6.** TEM image of poly(vinyl alcohol)-*block*-polystyrene (PVA-*b*-PSt) micelles. The PVA-*b*-PSt was prepared by hydrolysis of poly(vinyl acetate)-*block*-polystyrene (PVAc-*b*-PSt,  $M_{n(\text{GPC})} = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ).

As illustrated in Figure 4a, the linear relationship between  $\ln([M]_0/[M])$  vs time indicates that the polymerization is first-order until 45% conversion. The controlled nature was further confirmed by the linear increase of the MWs with conversion and the low polydispersity values of PVAc-*b*-PSt ( $M_w/M_n = 1.23$ – $1.30$ ) (Figure 4b).

PVAc-*b*-PSt block copolymers were directly hydrolyzed under basic conditions and obtained as a light yellow powder. Figure 5 shows the  $^1\text{H}$  NMR spectra of the PVAc-*b*-PSt ( $M_{n(\text{GPC})} = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ) and the hydrolyzed

PVA-*b*-PSt. The  $^1\text{H}$  NMR spectrum of the PVA-*b*-PSt was obtained in  $\text{DMSO}-d_6$  at  $40^\circ\text{C}$ . The complete hydrolysis of the PVAc was confirmed by the total disappearance of the peak corresponding to the acetate group (2.0–2.2 ppm) and the shifting of the peak corresponding to the  $-\text{CH}_2-\text{CH}-$  proton in the PVAc backbone. The resulting hydroxyl proton peaks were clearly observed at 4.2–4.7 ppm. Interestingly, it was reported that the thiocarbonylthio group of RAFT-synthesized polymers could be removed by hydrolysis to yield thiol-terminated polymeric chains using a strong base such as sodium hydroxide.<sup>28</sup> Therefore, it is believed that the phenyl dithioester groups in the PVAc-*b*-PSt block copolymers were also hydrolyzed to the thiol group.

The micellar characteristics of PVA-*b*-PSt in aqueous solution were investigated using TEM. The PVP-*b*-PSt was prepared by hydrolysis of PVAc-*b*-PSt ( $M_{n(\text{GPC})} = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ). The micelle solution of the PVA-*b*-PSt was prepared by adding deionized water dropwise to the PVA-*b*-PSt solution in a mixed solvent of THF/DMSO (1/1 v/v). With increasing water content, the solubility of the mixed solvent continually became worse for the hydrophobic PSt block, which triggered the aggregation of the dissolved polymer chains. Figure 6 shows a TEM image of the PVA-*b*-PSt micelles. Spherical PVA-*b*-PSt micelles were successfully formed with an average diameter of  $44 \pm 18$  nm.

## Conclusions

Well-defined PVAc-*b*-PSt block copolymers were successfully synthesized by a combination of CMRP and RAFT polymerization. PVAc-CTA was prepared by modifying the  $\omega$  chain end of the PVAc-Co(acac)<sub>2</sub> into a phenyl dithioester group by reacting with BTBD. The RAFT polymerization of St with PVAc-CTA was successful, but the resulting PVAc-*b*-PSt block copolymers exhibited relatively high polydispersity values. In order to control the RAFT polymerization of St, the reactivity of PVAc macroradicals was adjusted by introducing several St units into PVAc-CTA. PVAc-*b*-PSt prepared with PVAc-PSt6-CTA showed much narrower MW distributions than those of PVAc-*b*-PSt prepared with PVAc-CTA. PVAc-*b*-PSt block copolymer ( $M_{n(\text{GPC})} = 21\,000$  g/mol,  $M_w/M_n = 1.28$ ) was directly hydrolyzed under basic conditions. The micelle solution of the resulting PVA-*b*-PSt was prepared by adding deionized water dropwise to the PVA-*b*-PSt solution in a mixed solvent of THF/DMSO (1/1 v/v). The PVA-*b*-PSt micelles were spherical in shape with an average diameter of  $44 \pm 18$  nm.

**Acknowledgment.** This work was supported by National Research Foundation of Korea Grant funded by the Korean Government (2009-0073636).

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